

Audit and Governance Committee

Dorset County Council



Date of Meeting	21 January 2019
Officers	<u>Lead Cabinet Member</u> Rebecca Knox – Leader <u>Local Members</u> All Members <u>Lead Director</u> Mike Harries, Chief Executive
Subject of Report	Progress on Matters Raised at Previous Meetings
Executive Summary	This report records outstanding actions identified at the meeting held on 25 October 2018.
Impact Assessment:	Equalities Impact Assessment: N/A
	Use of Evidence: Information used to compile this report is drawn together from the Committee’s recommendations made to the Cabinet, and arising from matters raised at previous meetings. Evidence of other decisions made by the Cabinet which have differed from recommendations will also be included in the report.
	Budget: No VAT or other cost implications have been identified arising directly from this programme.
	Risk Assessment: Having considered the risks associated with this decision using the County Council’s approved risk management methodology, the level of risk has been identified as: Current Risk: LOW Residual Risk: LOW
	Outcomes:
	Other Implications: None

Progress on Matters Raised at Previous Meetings

Recommendation	That Members consider the matters set out in this report.
Reason for Recommendation	To support the Council's corporate aim to provide innovative and value for money services.
Appendices	Appendix 1 – Outstanding Actions
Background Papers	None
Officer Contact	Name: Denise Hunt, Senior Democratic Services Officer Tel: (01305) 224878 Email: d.hunt@dorsetcc.gov.uk

Progress on Matters Raised at Previous Meetings

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (incl comments)
25 October 2018	55 - Report of Internal Audit Activity - Plan Progress 2018-19	<ol style="list-style-type: none"> 1. That a report is provided by the Interim Director of Children's Services concerning the removal of audits in relation to Children's Social Care Caseload Management and Readiness for Ofsted Inspection in order that the Committee can decide whether to take the issues further; 2. That the above audits be referred to the Chairman of the Safeguarding Overview and Scrutiny Committee; 3. That the Committee makes contact with the Cabinet Member for Health and Care to seek assurance that appropriate overall governance arrangements and contract management and monitoring procedures are in place with regard to Tricuro; and 4. That the Cabinet Member - Workforce provides an update on DBS checks at the next meeting in January 2019 	<p>Nick Jarman</p> <p>Action dependent on the (1) above.</p> <p>Progress is captured in the Internal Audit Progress report included in this agenda.</p> <p>Cabinet Member for Workforce</p>	<p>No update available</p> <p>Action completed</p>
	56 - Financial Management Report	<ol style="list-style-type: none"> 1. That the presentation to the Budget Working Group concerning the DSG and HNB is circulated to the Committee; and 2. That an update on the progress made of the impact on the budget through the provision of in house foster carers is considered in January 2019. 	<p>Jim McManus</p> <p>Nick Jarman</p>	<p>The presentation was circulated by e-mail on 1 November 2018.</p>

Progress on Matters Raised at Previous Meetings

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	58 - External Funding Monitoring Report 2018	<ol style="list-style-type: none"> 1. That a progress report is considered at the meeting in January 2019; 2. That an update is provided on money received from Dorset LEP compared with other areas. 	Laura Cornette	This has been included as an item on this agenda.
	60 - Work Programme	<ul style="list-style-type: none"> • update by SWAP and the Cabinet Member for Workforce regarding DBS checks. • update on the Inquiry Day (January 19) with any necessary budget adjustments to be fed into the Shadow Council budget meeting on 29 February 2019. • the Internal Audit Plan 2019-20 to be considered in March 2019 would be forwarded to the Shadow Executive for approval. • the suggestion made to invite officers from Cotswold Council Counter Fraud and Investigation Services, who had given a good presentation at a recent SWAP event. 	<p>Work programme to be updated in respect of these items</p> <p>a Verbal update on the Inquiry Day to be included under "Points from the Chairman"</p>	